

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)

Jesse Shan)

Application No.: New Application)

Filed: Herewith)

For: TRIANGLE-SHAPED PLANAR)
OPTICAL WAVEGUIDE HAVING)
REDUCED SCATTERING LOSS)

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

J1073 U.S. PTO
10/025002
12/19/01

#4
D. Scott
10-11-02

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

XXX 37 C.F.R. §1.97(b).

_____ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e) or

_____ A check for \$240.00 for the fee under 37 C.F.R. § 1.17(p).

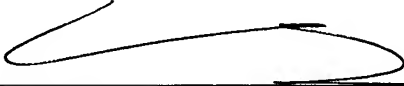
_____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e);
- (2) A petition requesting consideration of the Information Disclosure Statement; and
- (3) A check for \$_____ for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No.
02-2666.

Respectfully submitted,

Dated: 12/18, 2001



Chun M. Ng
Reg. No. 36,878

"Express Mail" mailing label number: ET668479864US
Date of Deposit: December 19, 2001
I hereby certify that I am causing this paper or fee to be
deposited with the United States Postal Service "Express Mail
Post Office to Addressee" service on the date indicated above
and that this paper or fee has been addressed to the Assistant
Commissioner for Patents, Washington, D. C. 20231
Sharon Farnus

(Typed or printed name of person mailing paper or fee)
Sharon Farnus 12/19/01
(Signature of person mailing paper or fee) (Date signed)

Please type a plus sign (+) inside this box 

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>			
				Application Number		Not yet assigned	
				Filing Date		Herewith	
				First Named Inventor		Jesse Shan	
				Group Art Unit		Not yet assigned	
				Examiner Name		Not yet assigned	
Sheet	1	of	1	Attorney Docket Number		n/a	

U.S. PATENT DOCUMENTS					
Examiner Initials *		U.S.Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			
		5,107,538	Benton et al.	4/21/1992	
		5,119,460	Bruce et al.	6/2/1992	
		5,206,925	Nakazawa	4/24/1993	
		5,693,116	Kanamori et al.	12/2/1997	
		5,719,976	Henry et al.	2/17/1998	
		5,900,057	Buchal et al.	5/4/1999	

FOREIGN PATENT DOCUMENTS							
Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			

OTHER DOCUMENTS			
Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.